

PATENT APPLICATION TRANSMITTAL LETTER
(Large Entity)

Docket No.
DC4974

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Submitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

K. Hwang, Kermit S. Kwan, Zhongtao Li, Eric S. Moyer, Sheng Wang, David L. Wyman, Xiaobing Zhou

Compositions and Methods for Forming Dielectric Layers Using a Colloid

Enclosed are:

- ☒ Certificate of Mailing with Express Mail Mailing Label No. EL709938139US
☐ sheets of drawings.
☐ A certified copy of a application.
☒ Declaration ☐ Signed. ☒ Unsigned.
☒ Power of Attorney
☐ Information Disclosure Statement
☐ Preliminary Amendment
☐ Other:

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	37	- 20 =	17	x \$18.00	\$306.00
Indep. Claims	3	- 3 =	0	x \$84.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$740.00
TOTAL FILING FEE					\$1,046.00

- ☐ A check in the amount of to cover the filing fee is enclosed.
☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1520
as described below. A duplicate copy of this sheet is enclosed.
☒ Charge the amount of \$1,046.00 as filing fee.
☒ Credit any overpayment.
☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance,
pursuant to 37 C.F.R. 1.311(b).

Dated: November 19, 2001

Catherine U. Brown
Signature

Catherine U. Brown
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Intellectual Property Dept. - CO1232
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Midland, Mi 48686-0994
989-496-1725

cc:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :
Hwang, et al. : Examiner: not assigned
Title: COMPOSITIONS AND METHODS FOR : Statement under 37 C.F.R. 1.6(e)
FORMING DIELECTRIC LAYERS : Art Unit: not assigned
USING A COLLOID :
Serial No.: not assigned : Confirmation No.: not assigned
Filed: November 19, 2001 :
Docket No.: DC 4974 : Date: 21 November, 2001

Commissioner for Patents
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

*Receipt date granted
is 11/19/01.
J. Chung from OPLA*

Sir:

On November 19, 2001 the above application was deposited with the United States Postal Service as Express Mail. The "Date In" box on the Express Mail Label shows the Date In as November 19, 2001. The "Time In" box on the Express Mail Label shows the Time In as 16:29 pm. The application was accepted by the U.S. Postal Service as evidenced by the acceptance clerk initials in the "Acceptance Clerk Initials" box on the Express Mail Label. Therefore, the application is entitled to a filing date of November 19, 2001.

On November 21, 2001 the application was returned as not delivered due to Express Mail being temporarily suspended to Washington D.C. zip codes 202-205. Because the application was deposited and accepted as Express Mail on November 19, 2001, the application would have been filed on November 19, 2001 if it were not for the designated interruption or emergency in

the United States Postal Service. The applicants respectfully request to have November 19, 2001 recorded as the filing date of the application.

You are authorized to charge deposit account 04-1520 for any fees necessary to maintain the pendency of this application.

Respectfully Submitted,
DOW CORNING CORPORATION

A handwritten signature in cursive script, appearing to read "Catherine U. Brown".

Catherine U. Brown
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